

# FACTS WHAT DOES FAOC, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security Number and Driver's License Number</li> <li>• Passports and Government Issued IDs</li> <li>• Financial Information and Investment Objectives</li> <li>• Account Balance and Transactional Activity or History</li> <li>• Utility Invoices</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons FAOC, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does FAOC share?	Can you limit this sharing?
<b>For our everyday business purposes:</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes:</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	Not Shared
<b>For our affiliates' everyday business purposes:</b> information about your transactions and experiences	Yes	Yes
<b>For our affiliates' everyday business purposes:</b> information about your creditworthiness	No	Not Shared
<b>For our affiliates to market to you</b>	Yes	Yes
<b>For nonaffiliates to market to you</b>	No	Not Shared

Questions?	Call (833) 326-6225 or <a href="mailto:members@faoncall.com">members@faoncall.com</a>
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Who we are?	
Who is providing this notice?	FAOC, LLC
What we do.	
How does FAOC, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does FAOC, LLC collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>• seek investment advice or open accounts</li> <li>• make securities trades or make withdrawals and deposits</li> <li>• enter into an investment advisory agreement</li> </ul>
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>• sharing for affiliates' everyday business purposes information about your creditworthiness</li> <li>• affiliates from using your information to market to you</li> <li>• sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	Companies related by common ownership or control; can be financial and nonfinancial companies: <ul style="list-style-type: none"> <li>• Financial Advisors On Call, LLC</li> </ul>
Nonaffiliates	Companies not related by common ownership or control; can be financial and nonfinancial companies.  FAOC, LLC limits its sharing with nonaffiliates to information necessary to run its everyday business. It does not share personal information with nonaffiliates to market to you.
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. FAOC, LLC does not have any joint marketing agreements with any nonaffiliated financial companies.
Other Important Information	
<p>FAOC, LLC may share your information with broker-dealer firms having regulatory requirements to supervise certain aspects of its activities.</p> <p>If your financial advisor ("FA") ends his or her affiliation with our firm and joins a non-affiliated firm, your FA may be permitted to retain copies of your nonpublic personal information to assist in transferring your account to his or her new firm so as to provide investment services to you at the FA's new firm. If you do not want us to share your nonpublic personal information with your departing FA and his or her new firm, you may contact us at (833) 326-6225.</p> <p>For clients residing in CA and NY, we limit sharing of nonpublic personal information to the extent required by law.</p>	